# **EXHIBIT B**

fith Cubsner

#### **AFFIDAVIT**

STATE OF NEW JERSEY ) ) ss:

#### CITY OF MONMOUTH JUNCTION, in the COUNTY OF MIDDLESEX )

I, Keith Oechsner, being duly sworn, depose and say that I am the advertising clerk of the Publisher of INVESTORS BUSINESS DAILY, a weekly national newspaper of general circulation throughout the United States, and that the notice attached to this Affidavit has been regularly published in INVESTORS BUSINESS DAILY for National distribution for

1 insertion(s) on the following date(s):

JUN-10-2024

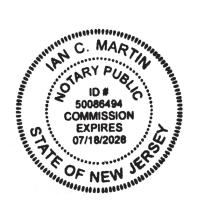
ADVERTISER: ATHIRA PHARMA, INC.;;

and that the foregoing statements are true and correct to the best of my knowledge.

Sworn to before me this 11 day of June

2024

Notary Public



NOOS CLANA CIFE WILL TO COURT, WESTERN DISTRICT OF WASHINGTON AT SEATTLE 1000 CO. 1. THE COURT OF WASHINGTON AT SEATTLE

ANTONIO BIOLANDIACIF; WIES HAPL-did HANTI (AO.) DEASENO.: 2:1T-W03461.152/2-V/2-4 individually and on behalf of all others similarly situated, Plaintiffs, and 21-cv-00864-TSJ and 21-cv-00864-TSJ and 21-cv-00864-TSJ

ATHIRA PHARMA, INC., et al.,

Defendants.

SUMMARY NOTICE OF (I) PENDENCY OF CLASS ACTION AND PROPOSED SETTLEMENT; (II) FINAL APPROVAL HEARING; AND (III) MOTION FOR AN AWARD OF ATTORNEYS' FEES

# AND PAYMENT OF LITIGATION EXPENSES 10: All persons and entities who or which purchased or otherwise acquired Athira Pharma, Inc. ("Athira") publicly traded common stock during the period from September 17, 2020, through June 17, 2021.

## inclusive (the "Class Period"), and were damaged thereby (the "Class"): PLEASE READ THIS NOTICE CAREFULLY, YOUR RIGHTS WILL BE AFFECTED BY A

CLASS ACTION SETTLEMENT

YOU ARE HEREBY NOTIFIED, pursuant to Rule 23 of the Federal Rules of Civil Procedure, that the abovecaptioned litigation (the "Action") has been certified as a class action for settlement purposes, except for certain
persons and entities who are excluded from the Class by definition as explained in the full printed Notice of (I)
Pendency of Class Action and Proposed Settlement; (II) Final Approval Hearing; and (III) Motion for an Award of

Attorneys' Fees and Payment of Litigation Expenses (the "Notice"), available at www.AthiraSecuritiesSettlement. com.

YOU ARE ALSO NOTIFIED that Plaintiffs in the Action have reached a proposed settlement of the Action for \$10,000,000 in cash (the "Settlement"), which, if approved, will resolve all claims in the Action and related claims. A hearing will be held on October 25, 2024 at 10:00 a.m., before the Honorable Thomas S. Zilly, United States District Court for the Western District of Washington, at the United States Courthouse, Courtroom 15206, 700 Stewart Street, Seattle, WA 98101, to determine: (i) whether the proposed Settlement should be approved as fair, reasonable, and adequate; (ii) whether the Action should be dismissed with prejudice against Defendants, and the Releases specified and described in the Amended Stipulation and Agreement Settlement dated December 15, 2023 (and in the Notice) should be approved; (iii) whether the proposed Plan

award of attorneys' fees and payment of expenses should be approved.

If you are a member of the Class, your rights will be affected and you may be entitled to share in the Settlement Fund. If you have not yet received the Notice, Proof of Claim Form ("Claim Form"), and Exclusion Request (Opt-Out) Form, you may obtain copies by contacting the Settlement Administrator at Athira Pharma Securities Litigation, c/o Strategic Claims Services, P.O. Box 230, 600 N. Jackson Street, Suite 205, Media, PA 19063, (866) 274-4004. Copies can also be downloaded from the website www.AthiraSecuritiesSettlement.com.

of Allocation should be approved as fair and reasonable; and (iv) whether Co-Lead Counsel's application for an

If you are a member of the Class, to be eligible to receive a payment under the proposed Settlement, you must submit a Claim Form to the Settlement Administrator postmarked (or bearing other proof of mailing) no later than September 6, 2024. If sent by mail, or submitted online at www.AthiraSecuritiesSettlement.com no later than September 6, 2024. If you are a member of the Class, you do not exclude yourself from the Class, and you do not submit a proper Claim Form, you will not be eligible to share in the distribution of the net proceeds of the Settlement but you will nevertheless be bound by any judgments or orders entered by the Court in the Action.

If you are a member of the Class and wish to exclude yourself from the Class, you must submit a request for exclusion to the Settlement Administrator **no later than September 6, 2024** by following the instructions in the Notice. If you properly exclude yourself from the Class, you will not be bound by any judgments or orders entered by the Court in the Action and you cannot share in the proceeds of the Settlement. Any objections to the proposed Settlement, the proposed Plan of Allocation (including the cy pres recipient),

Any objections to the proposed Settlement, the proposed Plan of Allocation (including the <u>cy pres</u> recipient), or Co-Lead Counsel's motion for attorneys' fees and payment of expenses, must either be mailed to the Settlement Administrator postmarked (or bearing other proof of mailing) **no later than September 6, 2024** (if you are <u>not</u> represented by an attorney), **or no later than October 11, 2024** (if you are represented by an attorney), or be presented to the Court by attending the Settlement Hearing, according to the instruons in the Notice.

Please do not contact the Court, the Clerk's Office, Athira, or other Defendants, or their counsel. All questions about this notice, the proposed Settlement, or your eligibility to participate in the Settlement should be directed to Co-Lead Counsel or the Settlement Administrator.

Inquiries, other than requests for the Notice, Claim Form, and Exclusion Request (Opt-Out) Form, should be made to Co-Lead Counsel:

GLANCY PRONGAY & MURRAY LLP
Casey E. Sadler, Esq.
1925 Century Park East, Suite 2100
Los Angeles, CA 90067
Telephone: (888) 773-9224
Email: settlements@glancylaw.com
Email: settlementsgestlons.com

Requests for the Notice, Claim Form, and Exclusion Request (Opt-Out) Form should be made to:

Athira Pharma Securities Litigation c/o Strategic Claims Services P.O. Box 230
600 N. Jackson Street, Suite 205
Media, PA 19063
(866) 274-4004
info@ strategicclaims.net
www.AthiraSecuritiesSettlement.com

36 Mo

0.04

0.00

0.01

(Consolidated with Case Nos. 21-cv-00862-TSZ and 21-cv-00864-TSZ)

7.75 0.00 72.48 0.04

65.03 -0.33

26.71 -0.14 77.26 0.09

24.28 -0.08

+8 +3 +7

### **INVESTORS.COM**

MCG

A+ S&P500

B+ LnaSh

Neuberger Berman Fds

\$ 28.8 bil 800-366-6264

**Neuberger Berman Inv** \$ 7.4 bil 800-877-9700

Neuberger Berman Tr \$ 5.7 bil 800-877-9700

New Covenant Funds

C+ Genesis

36 Mo

+3 +1

**A+** Guardian +12 +3 +12 27 89n 0.05

+2 -1 +6 62.42 -0.45

17.92 0.02

Matthews Asian Funds

\$ 2.8 bil 800-789-2742

+13 +4 +10 17.22 0.00

36 Mo YTD 12WK 5 Yr Net Performance % % After Asset NAV Rating   Fund   Chg  Chg  Tax Rtn  Value  Chg	36 Mo	36 Mo
-M-N-O-	\$ 1.2 bil 888-462-5386	C+ 2020 +5 +2 +4 18.75n 0.01
MainStay Fds	A+ OakTechnolo+18 +4 +11 46.49n -0.08	C+ 2025 +6 +2 +5 16.63n 0.00 B- 2030 +7 +2 +5 25.57n 0.01
\$ 1.7 bil 800-624-6782	A OakSelectGr+16 +7 +8 148.79n 0.15	
<b>A-</b> Flt Rate +4 +2 +2 8.89 0.00	Oakmark Funds Invest	B- 2035 +8 +2 +6 20.78n 0.00
MainStay Fds A	\$ 22.1 bil 800-625-6275	B 2040 +9 +3 +6 30.08n 0.01 B+ 2045 +9 +3 +7 21.80n 0.00
\$ 8.4 bil 800-624-6782	B- Eqty & Inc +3 +1 +6 34.65n 0.04	<b>B+</b> 2045 +9 +3 +7 21.80n 0.00
B- MK HY CB +3 +2 +1 5.16 0.00	A Fund +5 +0 +11 139.64n 0.07 D- Internatl +2 +3 +3 27.27n 0.16	<b>B+</b> 2050 +10 +3 +7 18.53 <sub>n</sub> 0.01 <b>B+</b> 2055 +10 +3 +7 19.44 <sub>n</sub> 0.01
A WMC End C +7 -1 +8 36.69 -0.17		
<b>A-</b> WMC Val +4 +1 +7 28.85 -0.11	B+ Select -1 -2 +9 69.88n 0.15	A- Sci&Tch +23 +3 +10 49.23n -0.27
<b>A+</b> Wnslw LCG +16 +2 +10 10.41 -0.01	<b>Oberweis Funds</b> \$ 952 mil 800-245-7311	C- ShTm Bd +2 +1 +1 4.56n 0.00
MainStay Fds I	<b>A+</b> Micro-Cap +9 +6 +12 41.98 -0.35	D SmCp Stk +0 -3 +6 58.16n -0.30 D SmCp Val +0 -1 +5 51.96n -0.26
\$ 3.0 bil 800-624-6782		C+ SpctModGrAl +9 +3 +5 39.99n 0.00
<b>A-</b> Epoch GI Eq +9 +4 +5 22.59 0.00	<b>Oberweis:SmCap Opp</b> \$ 514 mil 800-245-7311	A- DE +10 +3 +7 25.68n 0.00
A+ S&P500 ldx +13 +4 +9 59.52 -0.01	<b>A+</b> Sm-Cap Opp+14 +5 +13 25.80 -0.34	D+ SpectrumInc +1 +1 +1 11.22n 0.00
Mairs&Power	ALL Mande and P. L.	D+ SumtMuniInt +0 -1 +1 11.22n 0.00
\$ 6.3 bil 800-304-7404	\$ 43.1 bil 800-607-2200	A Tot Eq Mk +12 +3 +10 56.98n -0.04
A &PowerGrowt+13+4 +9 165.56n 0.25	<b>A</b> All Cp Core +12 +1 +9 25.72n -0.04	<b>A+</b> TX-Ef Eq +17 +4 +11 66.87n -0.07
Marsico Funds	D- Credit Inc +1 +1 8.05n 0.01	D Tx-Fr HY +3 +1 +2 11.03n 0.05
\$ 1.6 bil 888-860-8686	D Fxd Inc +0 +0 0 10.10n 0.01	<b>A+</b> US ER +15 +4 +11 53.29n -0.03
<b>A+</b> Foc +26 +5 +13 27.89n -0.01	<b>B+</b> LC Strat +13 +4 +6 19.54 <sub>0</sub> 0.00	<b>A+</b> USLqCpCore +17 +5 +10 41.65n -0.05
A Grow +20 +5 +12 24.60n -0.04	D Muni Bd -1 -1 +1 11.47n 0.04	<b>A-</b> Value +11 +3 +8 46.59n -0.04
Mass Mutual	E Sm&Md Cp St+3 +0 +3 15.95n -0.02	Price Funds Advisor
\$ 3.1 bil 888-309-3539		\$ 11.3 bil 800-225-5132
<b>A+</b> BI Ch +18 +5 +10 23.48 0.06	<b>Optimum Funds Inst</b> \$ 8.1 bil 800-914-0278	A Cap App +6 +2 +7 35.35 0.01
MassMutual Select	E Fxd Inc +0 +0 -1.0 8.19 0.00	Price Funds I
\$ 12.4 bil 888-309-3539	A Lg Cp Gro +18 +5 +9 23.59 -0.01	\$ 298 bil 800-638-5660
<b>A</b> Eq Op +6 +2 +8 18.24 0.00	<b>A-</b> Lg Cp Val +8 +2 +7 18.83 -0.02	<b>A-</b> FIt Rate +4 +2 +3 9.48 0.00
A End V .0 .2 .0 0.10 0.02	A- Ly Ch vai +0 +2 +1 10.03-0.02	M- 11t Nato 74 72 73 7.40 0.00

## **A-** Lg Cp Val +8 +2 +7 18.83 -0.02 **A-** Flt Rate +9 +2 +8 9.18 -0.03 +4 -4 +6 20.53 -0.10 A TICKBE +4 +2 +3 +4 +1 +2 +1 **Osterweis Strat Income** \$ 5.4 bil 866-236-0050 **B+** StratIncome +3 +2 +3 11.17n 0.00 -P-Q-R-+7 +0 +7 28.11n -0.02 PACE Funds CI P A- LoCo Val A findla + / + 0 + / 26.11n - 0.02 | PACE Funds CI P | \$4.2 bil 800-647-1568 | \$4.2 bil 800-647-1568 | \$A + Lg Co Gr + 16 + 1 + 10 | 18.26 | 0.03 | \$A + Lg Co VI + 7 + 3 + 6 | 20.85 - 0.04 | \$B.6 bil 800-729-2307 | \$A + Lg Co VI + 7 + 3 + 6 | 20.85 - 0.04 | \$A - Lg Co VI + 7 + 3 + 6 | 20.85 - 0.04 | \$A - Lg Co VI + 7 + 3 + 6 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 39.35n - 0.17 | \$A - Dutyses/Grown + 7 - 3 + 8 | 30

Metropolitan West	Parnassus Fds	A- OdysseyGrow+7 +3 +8 39.35n -0.17
\$ 51.7 bil 800-241-4671	\$ 13.9 bil 800-999-3505	A OdysseyStoc+11 +2 +9 38.79n -0.12
A- Fltng Rt In +5 +3 +2 9.53 0.01	A Core Eaty +10 +2 +10 60.28n 0.00	Principal Funds A
E Total Rtn +0 +0 -1.0 8.98 0.00	Pear Tree	\$ 58.1 bil 800-222-5852
C- Uncons Bd +2 +1 0 10.27 0.01	\$ 4.4 bil 800-326-2151	<b>A+</b> Cap App +14 +3 +9 75.37 -0.16
MFS Funds A	D+ Foreign V +5 +4 +3 24.21 0.09	<b>A-</b> MidCap +7 -1 +7 39.35 -0.38
\$ 57.3 bil 800-225-2606	Perm Port Funds	B- SAM Bal +6 +2 +3 16.30 -0.01
B AggrGrAlloc +8 +2 +5 30.11 0.00		
A Core Equity +11 +2 +8 50.34 -0.04	\$ 3.2 bil 800-531-5142	B SAM Csv G +8 +2 +5 19.31 -0.01
D- Corp Bond +1 +1 0 12.29 0.00	A- Perm +10 +6 +7 56.39n 0.32	Principal Funds Inst
	PGIM Funds A	\$ 58.1 bil 800-222-5852
C+ IntlIntrVal +10 +4 +4 41.11 0.13	\$ 16.9 bil 800-225-1852	C+ Hi In +3 +2 +1 8.21 0.00
<b>A</b> MassInvGro +10 +3 +9 43.43 -0.07	C High Yield +2 +1 +1 4.70 -0.01	D Infl Prt +1 +1 +1 7.74 0.00
A Mass Inv Tr +13 +5 +7 38.86 -0.02	D- Tot Rtn Bnd +1 +0 -1.0 11.92 0.00	A+ LC S&P500 +13 +4 +10 26.30 0.00
D MuniHighInc +2 +1 +1 7.35 0.02	PGIM Jenn Funds A	A- LCG I +13 +2 +10 19.57 0.03
D Muni Income +1 +0 +1 8.16 0.03	\$ 16.9 bil 800-225-1852	A- LCV III +7 +2 +7 19.28 -0.05
<b>A+</b> Technology +21 +3 +10 62.91 -0.11	A- Jenn Blend +10 +1 +7 24.51 -0.04	C LT 2020 +4 +1 +3 12.49 0.00
D- TotRetBond +0 +0 -1.0 9.42 0.00	A+ JennDvsfdGr+20 +2 +10 19.41 0.02	C+ LT 2030 +5 +1 +4 14.15 -0.01
B- TotalReturn +4 +2 +4 19.65 -0.04	A Jenn Foc Gr +19 +3 +9 24.19 0.04	B- LT 2040 +7 +2 +5 16.26 0.00
<b>B+</b> Utilities +6+10 +3 22.06 -0.17	A Jenn Growth+17 +1 +11 59.83 0.13	B LT 2050 +8 +2 +6 17.23 -0.01
MFS Funds I	A- JennHealthS+11 -2 +8 40.11 -0.07	A- MCV I +6 -1 +8 17.32 -0.03
\$ 55.9 bil 800-225-2606	A+ JennNtrlRes+11+11 +9 56.73 0.60	D Real Est -3 -1 +2 26.76 0.05
<b>A+</b> Growth +21 +5 +11 208.87 -0.53	<b>A-</b> JennUtility +13+11 +4 14.52 -0.20	C- Sp Prf SI +5 +2 +1 9.06 0.01
A- Intl Equity +8 +3 +6 35.69 0.16	A Jenn Value +10 +2 +6 22.06 -0.03	ProFunds Inv Class
C. MidConCroud. 7 2 .7 20.04 0.22	BONA 0 4 F 1 4	A

\$ 55.9 DII 800-225-2606	<b>A+ JennNtrlRes</b> +11+11 +9	56.73 0.60	D Real Est -3 -1 +2 26.76 0.05
<b>A+</b> Growth +21 +5 +11 208.87 -0.53	<b>A-</b> JennUtility +13+11 +4		C- Sp Prf Sl +5 +2 +1 9.06 0.01
A- Intl Equity +8 +3 +6 35.69 0.16	A Jenn Value +10 +2 +6		ProFunds Inv Class
C+ MidCapGrowt+7 -3 +7 30.94 -0.22	PGIM Quant Funds A	LL.00 0.00	\$ 2.2 bil 888-776-3637
<b>A-</b> MidCapValue +7 +1 +8 32.90 -0.13	\$ 16.9 bil 800-225-1852		A+ Semiconduct+100+18+36 358.68n -5.5
A Research +11 +2 +9 61.11 -0.02	A+ Quant LCC +15 +4 +8	21 27 -0 02	A+ UltraNASDAQ+23+6+19 99.81n -0.15
A- Value +7 +2 +7 50.85 -0.05	PIMCO Fds Instl	Z1.Z7 0.0Z	Putnam Funds Class A
Morgan Stanley Inst	\$ 138 bil 800-927-4648		\$ 40.8 bil 800-225-1581
\$ 174 bil 800-548-7786	D+ All Asset +2 +1 +3		A- Putnam Bal +9 +3 +5 24.92 0.00
<b>B+</b> GI Fr +4 -2 +6 35.58 0.17	B+ Comm RR Str +7 +6 +4		<b>B+</b> D AAB +10 +3 +4 16.63 0.00
E Growth -2 -7 +3 33.75 0.22	D+ Div Income +2 +1 0		A- D AAG +12 +4 +5 20.30 0.01
MorganStanleyPathway	C+ High Yield +2 +1 +1		A- FloatRtInc +4 +2 +2 8.00 0.00
\$ 5.3 bil 888-673-9950	D+ HY Muni Bd +2 +1 +3		A+ GlHealthCr +10 +3 +9 63.67 0.31
E Core FI -1 +0 -1.0 6.76n 0.00	D+ IntlBd(DH) +1 +1 +1		A+ GrowthOppty+18+5 +11 64.12 0.03
A Lg Cap Eg +11 +3 +8 24.25n -0.01	E Lna-TmCrBd -1 +0 -1.0		A+ Research +15 +5 +9 51.67 -0.01
Muhlenkmp	E Long Dur TR -1 +0 -2.0		A Sstnbl Ldrs +17 +4 +9 126.78 -0.12
\$ 230 mil 800-860-3863	C- Low Dur +1+1 0	9.16 0.00	Putnam Funds Class Y
<b>A+</b> Fund +8 +4 +10 67.01n -0.10	D Mod Dur +1+1 0	9.15 0.00	\$ 24.8 bil 800-225-1581
Munder Funds	C MtqOpp&Bd +3 +1 +1	9.34 0.00	A+ LargeCpVal +14 +6 +10 34.85 -0.15

riuliuci i ulius	C MILYUPPODU +3 +1 +1	7.04 0.00	A+ Largeupvar +14 +0 +10	J4.03 -U.13
\$ 2.7 bil 800-539-3863	D Real Return +1 +1 +1	10.00 - 0.01	A- RetRdy 2035 +9 +2 +4	28.22 -0.02
D- Intl SmCp +8 +4 +4 15.71	0.01   <b>A+</b> S+ Intl(DH) +14 +6 +6	9.15 0.05	B UltShtDurl +2 +1 +1	10.10 -0.01
Munder Funds CI A	B- Short-Term +3 +2 +1	9.64 0.00	Royce Funds	
\$ 824 mil 800-539-3863	B ShtAsstInv +3 +2 +1	9.94 0.00	\$ 5.0 bil 800-221-4268	
A+ Multi-Cap +13 +3 +8 53.46 -	0.06 A Stk+Abs Rtn+13 +4 +8	12.38 -0.01	<b>B+</b> PA Mut +5 +1 +7	9.60n -0.07
Nationwide Fds R6	A+ StocksPLUS +13 +4 +9	12.84 0.00	Russell Funds S	
\$ 1.4 bil 800-848-0920	E Tot Rtn ESG +1 +1 -1.0	7.59 0.00	\$ 16.2 bil 800-787-7354	
A BNYM DUSC +12 +4 +10 15.45 -	0.01 D- Tot Rtn +1 +1 0	8.54 0.00	A- Global Eq +10 +3 +8	10.23 0.01
Nationwide Funds	<b>B+</b> TRENDS MFS +1 -1 +5	10.83 -0.02	E Strat Bond +0 +0 -1.0	9.16 0.01
\$ 907 mil 800-848-0920	PIMCO Funds A		D+ Tax Ex Bond +0 +0 +2	21.86 0.06
A+ S&P 500 +13 +4 +10 25.58 -	0.01 \$ 30.2 bil 800-927-4648		A TM US Lg Cp +12 +3 +9	78.64 - 0.06
Natixis Funds	A RAE PLUS +9 +1 +5	17.80 -0.07	Rydex Dynamic Fds	
\$ 22.5 bil 800-225-5478	PIMCO Funds 12		\$ 1.0 bil 800-820-0888	
D Inv GB +1 +1 +1 9.82			A+ NASDAQ 2x +23 +6 +20	503.18 - 0.74
A+ LS Growth +15 +3 +11 26.66	0.13 D- Inv Grd Cr +1 +1 0	8.90 0.00	Rydex Investor Class	
A Oakmark +5 +0 +10 28.55	0.02 B- Low Dur Inc +3 +2 +1	8.03 0.00	\$ 2.2 bil 800-820-0888	
A US Eq Opp +9 +1 +9 40.04	0.14 PIMCO Funds Insti		A+ NASDAQ-100+13 +4 +13	79.96n -0.06

A+ Comm+ Strat +9 +4 +6

7.16 0.11

A+ Comm+ Strat +9 +4 +6	7.16 0.11	СТП
C- Dynmc Bd +3 +1 +1	9.82 0.00	-S-T-U-
C Income +2 +1 +1	10.55 0.00	Schwab Funds
B Infl Rsp MA +3 +2 +3	8.27 0.03	\$ 307 bil 800-345-2550
Pioneer Funds A		A+ Core Eqty +14 +6 +8 22.86n 0.05
\$ 16.2 bil 800-225-6292		A- Fdm ltl LCl +7 +3 +6 11.27n 0.02
<b>A-</b> Core Eqty +6 -1 +7	22.09 0.07	A Fdm US LCI +8 +2 +10 26.25n -0.03
A Disc Gro +8 -1 +9	17.58 0.01	C+ FdmUSSmCoI+1 +0 +6 16.69n -0.06
<b>A+</b> Fund +16 +6 +10	42.39 0.05	A Health Care +9 +1 +7 27.70n 0.16
<b>A-</b> Mid Cap Val +3 +0 +5	23.73 -0.05	B Intl Idx +9 +3 +5 24.56n 0.07
Pioneer Funds Y		A+ Lg-Cap Gro +17 +4 +11 32.37n 0.04
\$ 7.2 bil 800-225-6292		A- MKtTrk ALE +8 +3 +7 23.71n 0.00
D- Bond +0 +0 0		A+ S&P 500 ldx +13 +4 +10 82.52n -0.02
D StratIncome +2 +1 +1	9.44 0.01	D- SC ldx +2 -1 +4 33.07n -0.22

**A+** Nova Fund +18 +5 +11 131.00n -0.05

HOW COVERIGINATION	D- DOILU	AT 301 300 IUA + 13 +4 + 10 02.3211 - 0.02
\$ 1.3 bil 877-835-4531	D StratIncome +2 +1 +1 9.44 0.01	D- SC ldx +2 -1 +4 33.07n -0.22
A Growth +11 +3 +9 63.19n -0.05	Price Funds	A Tot Stk Mkt +11 +3 +10 90.28n -0.06
Nicholas Group	\$ 298 bil 800-638-5660	<b>A+</b> 1000 Index +12 +4 +10 114.78n -0.05
\$ 5.8 bil 800-544-6547	C+ PriceQMUSSC+6 -1 +6 43.56n -0.29	D TRSInfIPSI +1 +1 +1 10.28n -0.01
<b>A+</b> Fund +8 +0 +11 89.99n -3.8	<b>A+</b> AllCp Opp +16 +3 +12 73.99n 0.11	SEI Inst F
Northern Funds	B Balanced +8 +3 +5 27.10n 0.00	\$ 21.9 bil 800-858-7233
\$ 34.6 bil 800-595-9111	A BlueChpGro +21 +7 +9 180.50n 0.11	E CoreFxdInc -1 +0 -1.0 9.44 0.00
E Bond Index +0 +0 -1.0 9.11n 0.00	C- Comm/Tech +18 +4 +8 141.33n 0.24	<b>A+</b> Lq Cap Gro +16 +4 +11 45.97 -0.10
E <b>EM Eq Idx</b> +6 +5 0 11.33n 0.08	A Div Gr +9 +2 +9 77.00n -0.06	<b>A-</b> Lg Cap Val +7 +2 +6 26.13 -0.08
C+ HY Fxd Inc +2 +1 +2 5.95n 0.00	<b>A-</b> Eq Inc +9 +3 +7 36.42n -0.08	<b>A+</b> S&P 500 +13 +4 +10 95.67 -0.02
B Intl Eq Idx +9 +3 +4 15.06n 0.05	<b>A+</b> EqIndex500 +13 +4 +10 141.07n -0.03	A Tx-Mgd LgCp +8 +2 +8 36.15 -0.05
A+ Lg Cp Core +14 +4 +10 27.87n -0.02	<b>A-</b> Financial +11 +3 +10 39.25n -0.11	SEI Inst Intl F
<b>B+</b> Mid Cap Idx +6 +0 +7 21.24n -0.06	C+ Glbl Stck +14 +2 +9 65.23n -0.10	\$ 21.9 bil 800-858-7233
A+ Stock ldx +13 +4 +10 55.12n -0.01	E Glbl Tech +22 +4 +7 19.19n 0.00	E EM Egty +4 +2 +1 11.20 0.03
Nuveen Funds A	<b>B+</b> GrowthStock+17 +5 +8 101.09n 0.17	C+ Intl Eq +9 +5 +5 12.63 0.06
\$ 14.7 bil 800-257-8787	C+ HIth Sci +7 -1 +8 94.14n -0.01	SEI Tax Exempt F

\$ 14.7 bil 800-257-8787	C+ HIth Sci +7 -1	+8 94.14n -0.01	SEI Tax Exempt F	
E All-Am Muni +1 +0 0 10.18	0.04 E Intl Disc +7 +4	+4 67.35n 0.15	\$ 21.9 bil 800-858-7233	
A- Div Value +8 +3 +4 14.89	-0.03 D+ Intl Stck +6 +2	+4 20.30n 0.06	D Int-Tm Muni +0 +0 +1	11.05
Nuveen Funds I	A- Intl Val E1 +11 +7	+6 17.86n 0.03	Selected Funds	
\$ 9.1 bil 800-257-8787	C+ MdCp Growth+3 -4	+6 103.36n -0.52	\$ 1.8 bil 800-243-1575	
D HY Muni +4 +2 +2 15.14	0.07 A MdCp Val +8 +0	+9 33.78n -0.09	A- AmericanShs+14+3 +8	43.59n
D+ IntDurMuni +1 +0 +2 8.87	0.03 A New Era +8 +5	+6 40.23n 0.16	Shelton Funds	
C- LtdTrmMuni +1 +0 +2 10.92	0.02 E NewHorizons -4-10	+6 53.90n -0.16	\$ 1.1 bil 800-955-9988	
A- MidCpValue +6 +2 +7 55.03	-0.26 C+ OverseasStc +7 +3	+5 13.36n 0.00	A Eqty Income +8 +1 +6	16.99n
A MultiCapVal +8 +4 +8 50.78	-0.12 C 2010 +5 +2	+3 15.26n 0.00	<b>A+</b> S&P 500 ld +13 +4 +10	76.00n
Oak Associates Funds	C+ 2015 +5 +2	+4 12.64n 0.00	Sit Funds	

VTD 12Wk 5 Yr Net
% % After Asset NAV | Chg| Chg| Tax Rtn| Value| Chg
Rating | Fund | Chg| Chg| Tax Rtn| Value| Chg THE HONORABI E THOMAS S. ZILLY UNITED STATES DISTRICT COURT, WESTERN DISTRICT OF WASHINGTON AT SEATTLE ANTONIO BACHAALANI NACIF; WIES RAFI; and HANG GAO, individually and on behalf of all others similarly situated, Plaintiffs, CASE NO.: 2:21-cv-00861-TSZ

ATHIRA PHARMA, INC., et al., Defendants SUMMARY NOTICE OF (I) PENDENCY OF CLASS ACTION AND PROPOSED SETTLEMENT; (II) FINAL APPROVAL HEARING; AND (III) MOTION FOR AN AWARD OF ATTORNEYS' FEES AND PAYMENT OF LITIGATION EXPENSES

TO: All persons and entities who or which purchased or otherwise acquired Athira Pharma, Inc. ("Athira" publicly traded common stock during the period from September 17, 2020, through June 17, 2021 inclusive (the "Class Period"), and were damaged thereby (the "Class"):

Inclusive (the "Class Period"), and were damaged thereby (the "Class"):

PLEASE READ THIS NOTICE CAREFULLY, YOUR RIGHTS WILL BE AFFECTED BY A

CLASS ACTION SETTLEMENT

YOU ARE HEREBY NOTIFIED, pursuant to Rule 23 of the Federal Rules of Civil Procedure, that the above captioned litigation (the "Action") has been certified as a class action for settlement purposes, except for certain persons and entities who are excluded from the Class by definition as explained in the full printed Notice of (I Pendency of Class Action and Proposed Settlement; (II) Final Approval Hearing; and (III) Motion for an Award of Attorneys' Fees and Payment of Litigation Expenses (the "Notice"), available at www.AthiraSecuritiesSettlement com.

Attorneys' Fees and Payment of Litigation Expenses (the "Notice"), available at www.AthiraSecuritiesSettlement. com.

YOU ARE ALSO NOTIFIED that Plaintiffs in the Action have reached a proposed settlement of the Action for \$10,000,000 in cash (the "Settlement"), which, if approved, will resolve all claims in the Action and related claims. A hearing will be held on October 25, 2024 at 10:00 a.m., before the Honorable Thomas S. Zilly, United States District Court for the Western District of Washington, at the United States Courthouse, Courtroom 15206, 700 Stewart Street, Seattle, WA 98101, to determine: (i) whether the proposed Settlement should be approved as fair, reasonable, and adequate; (ii) whether the Action should be dismissed with prejudice against Defendants, and the Releases specified and described in the Amended Stipulation and Agreement of Settlement dated December 15, 2023 (and in the Notice) should be approved; (iii) whether the proposed Plan of Allocation should be approved as fair and reasonable; and (iv) whether Co-Lead Counsel's application for an award of attorneys' fees and payment of expenses should be approved.

If you are a member of the Class, your rights will be affected and you may be entitled to share in the Settlement Fund. If you have not yet received the Notice, Proof of Claim Form ("Claim Form"), and Exclusion Request (Opt-Out) Form, you may obtain copies by contacting the Settlement Administrator at Athira Pharma Securities Litigation. c/o Strategic Claims Services, P.O. Box 230, 600 N. Jackson Street, Suite 205, Media, PA 19063, (866) 274-4004. Copies can also be downloaded from the website www.AthiraSecuritiesSettlement.com.

If you are a member of the Class, to be eligible to receive a payment under the proposed Settlement, you must submit a Claim Form to the Settlement Administrator postmarked (or bearing other proof of mailing) no later than September 6, 2024. If you are a member of the Class, you do not exclude yourself from the Class, and you do not submit a proper Claim Fo

in the Action.

If you are a member of the Class and wish to exclude yourself from the Class, you must submit a request for exclusion to the Settlement Administrator no later than September 6, 2024 by following the instructions in the Notice. If you properly exclude yourself from the Class, you will not be bound by any judgments or orders entered by the Court in the Action and you cannot share in the proceeds of the Settlement.

Any objections to the proposed Settlement, the proposed Plan of Allocation (including the <a href="mailto:crypres">crypres</a> recipient), or Co-Lead Counsel's motion for attorneys' fees and payment of expenses, must either be mailed to the Settlement Administrator postmarked (or bearing other proof of mailing) no later than September 6, 2024 (if you are not represented by an attorney), or no later than October 11, 2024 (if you are represented by an attorney), or be presented to the Court by attending the Settlement Hearing, according to the instructions in the Notice.

Please do not contact the Court, the Clerk's Office, Athira, or other Defendants, or their counsel. All questions about this notice, the proposed Settlement, or your eligibility to participate in the Settlement should be directed to Co-Lead Counsel:

Inquiries, other than requests for the Notice, Claim Form, and Exclusion Request (Opt-Out) Form, should be made to Co-Lead Counsel:

GLANCY PRONGAY & MURRAY LLP

LABATON KELLER SUCHAROW LLP

GLANCY PRONGAY & MURRAY LLP Casey E. Sadler, Esq. 1925 Century Park East, Suite 2100 Los Angeles, CA 90067 Telephone: (888) 773-9224 Email: settlements@glancylaw.com

LABATON KELLER SUCHAROW LLP Michael P. Canty, Esq. 140 Broadway New York, New York 10005 Telephone: (888) 219-6877 Email: settlementquestions@labaton.com

Requests for the Notice, Claim Form, and Exclusion Request (Opt-Out) Form should be made to:

Athira Pharma Securities Litigation c/o Strategic Claims Services P.O. Box 230 GOON. Jackson Street, Suite 205 Media, PA 19063 (866) 274-4004 info@ strategicclaims.net www.AthiraSecuritiesSettlement.com

## How To Read IBD Mutual Fund Tables

VTD 12W/v E.Vr Mot

IBD tables have funds with 36-Month Performance Rating A+, A or A-, at least \$200 million assets C+ LS Mod Gro +6 +2 +4 32.15 n 0.00 performance yesterday are boldfaced. Performance of income funds may be compared income funds. b = assets used to pay 12(b)(1) plan distribution costs, r=redemption char apply, n=no initial load and appears after Net Asset Value, m=multiple fees, p=previou quote, s=split, x=ex-dividend or capital gains distribution. 5-Yr After Tax Rtn=5 year at return assuming average income tax rate of 35% on dividends and 15% long-term capit rate.NAVChg is calculated vs. the prior session.

36 Mo YTD 12Wk 5 Yr Net Performance % % After Asset NAV	36 Mo YTD 12Wk 5 Yr Net Performance % % After Asset NAV	36 Mo YTD 12Wk 5 Yr Net Performance % % After Asset NAV	36 Mo YTD 12Wk 5 Yr Net B+
Rating   Fund   Chg   Chg   Tax Rtn   Value   Chg	Rating   Fund   Chg   Chg   Tax Rtn   Value   Chg	Rating   Fund   Chg   Chg   Tax Rtn   Value   Chg	Rating   Fund   Chg   Chg   Tax Rtn   Value   Chg   C-
\$ 1.4 bil 800-332-5580	D- SCB ldx +2 -1 +4 23.09 -0.16	USAA Income	D+ NJ Lng-Trm +0 +0 +2 11.32n 0.05 \$1
A DividendGro +9 +3 +9 16.24-0.02	A Soc Ch Eq +10 +2 +10 28.32 -0.03	\$ 69.6 bil 800-235-8396	D NY Lng-1rm +0 +0 +2 10.83n 0.04   A+
SmeadFds \$ 4.5 bil 877-807-4122	<b>Tocqueville Funds</b> \$ 464 mil 800-697-3863	D- Income +1 +1 0 11.41 0.00 USAA International	D PA Lng-Irm +0 +0 +2 10.76n 0.05 A+
<b>A+</b> Value +6 +0 +11 80.44 -0.31	A Tocq Fd +13 +5 +8 47.30n -0.04	\$ 69.6 bil 800-235-8396	A DDIMEDAD AN E ANAZENE NOA A
Spirit of America	Torray Fund	B- Internation +9 +3 +4 28.10 0.05	E REIdx -4 -3 +1 118.67n 0.24 B-
\$ 493 mil 800-452-4892	\$ 337 mil 855-753-8174	USAA IntmTerm Bd	C S-C Id +3 -1 +6 105.32n -0.42   \$ 5
A+ Energy +12 +3 +4 12.22 0.06	A Fund +11 +2 +6 54.42n -0.10	\$ 69.6 bil 800-235-8396	D- SC G Id +3 -3 +5 87.70n -0.40 A-
<b>SSgA Funds</b> \$ 1.5 bil 800-997-7327	Tortoise Capital \$ 3.0 bil 855-822-3863	D Intm-TermBd+1 +0 0 9.12 -0.01 USAA ShortTerm Bd	B SC V I +3 +0 +6 79.53n -0.29 Vic D+ Sh-Tm B +1 +1 0 10.04n 0.00 \$
<b>A+</b> SSS&P500Ind+13+4 +10 253.64n -0.05	<b>A+</b> MLP&EnInc +10 +5 +7 8.16 0.00	\$ 69.6 bil 800-235-8396	D+ Sh-Im B +1 +1 0 10.04n 0.00   \$2 D+ Sh-Tm Fed +1 +1 0 10.04n 0.00   <b>A+</b>
State Street Institu	<b>A+</b> MLP&Pipe +15 +7 +7 15.90 0.04	C+ Short-TermB +2 +1 +1 8.97 0.00	C- Sh-Tm Inv +2 +1 +1 10.21n 0.00 Vic
\$ 1.3 bil 800-242-0134	Touchstone Family Fd	-V-W-X-	D+ Sh-Tm Trs +1 +1 0 9.77n 0.00 \$2
A+ US Equity +15 +4 +11 12.94 0.00	\$ 7.5 bil 800-543-0407		C Sh-Tm Tx-Ex +1 +1 +1 15.71n 0.02 A
TCW Funds \$ 5.1 bil 800-248-4486	A Focused +9 +4 +10 68.47 0.05 Touchstone Funds Gro	<b>Value Line Funds</b> \$ 2.3 bil 800-243-2729	C- ST Corp Bd +1 +1 +1 21.02n -0.01   Vio C- ST Trs +1 +1 0 19.29n 0.00   \$2
E EmMktsIncom+3+2 -1.0 6.47n 0.00	\$ 4.3 bil 800-543-0407	<b>A-</b> LineAsstAll +3 -2 +6 41.88n -0.11	<b>B+</b> TM Bal +6 +2 +6 43.32n 0.07 C+
A SelectEquit +18 +4 +10 31.57n 0.04	A- Mid Cap +2 -5 +8 53.37 -0.46	<b>A+</b> LineMdCpFoc +4 -3 +10 33.51n -0.19	A+ TM Cp App +12 +3 +10 275.77n -0.10   Vir
E TotalReturn +0 +0 -1.0 7.85n 0.00	Touchstone Strategic	<b>A+</b> LineSelGro +6 -2 +9 36.18n -0.02	D+ TM SmCp +0 +0 +6 87.74n -0.55 \$ 3
<b>Third Avenue</b> \$ 1.2 bil 800-443-1021	\$ 2.3 bil 800-543-0407 <b>A</b> Lrg Cp Foc +9 +4 +9 64.86 0.10	Vanguard Funds Adm \$ 2334 bil 800-662-2739	D- Tot Bd
<b>A+</b> Value +9 +5 +11 70.46 -0.14	A Value +9 +2 +8 11.79 -0.06	<b>A+</b> 500 ldx +13 +4 +10 495.12n -0.10	D- Tot Intl BI +0 +0 0 19.50n -0.01   <b>Vir</b> <b>A</b> TSM Idx +11 +3 +10 128.24n -0.08   \$5
Thivent Funds A	Transamerica A	<b>B+</b> Bal Idx +7 +2 +6 47.14n -0.02	<b>B+</b> US Growth +16 +3 +10 169.59n 0.25 B
\$ 6.7 bil 800-847-4836	\$ 4.9 bil 888-233-4339	D+ CA Intm-Trm +0 -1 +2 11.30n 0.03	A- Util Indx +13+12 +4 76.85n -0.83 A+
<b>A-</b> G Stk +9 +2 +5 28.24 0.03	C+ AA Modt Gr +8 +2 +4 12.96 0.01	D+ CA Lng-Tm +0 +0 +2 11.42n 0.05	A Validx +8 +2 +8 62.65n -0.07 A
A+ LC Gro +16 +4 +10 17.34 0.05 Thivent Funds S	A- MIt-Mgd Bal +8 +3 +5 34.36 0.01 Trust for Professional Manager	A Cap Opp +11 +3 +9 198.59n -0.37 A Cnsmr Stp +9 +3 +7 102.25n 0.36	C Wellesley +2 +2 +3 61.37n -0.08   Vir A- Wellington +7 +3 +6 76.34n 0.02   \$8
\$ 5.7 bil 800-847-4836	\$ 8.3 bil 866-273-7223	C+ Dev Mkt +7 +3 +5 16.43n 0.03	A Windsor II +9 +3 +10 82.93n 0.03 A+
A LC Val +7 +2 +8 29.85n -0.04	<b>A+</b> Rock Qlt LC +9 +2 +10 22.70 0.04	<b>A</b> Div A I +7 +1 +9 49.26n 0.00	A Windsor +5 +2 +9 75 74n -0 14 C
C+ MC Stk +4 -4 +8 35.57n -0.11	D TrStratBond +1 +1 0 19.66 0.02	E EM St I +6 +4 +2 36.34n 0.11	Vanguard Funds Ins \$ 023 bit 800-662-7447
Thompson IM Fds,Inc	Tweedy Browne Fds	A+ Energy Idx +8 +4 +10 63.11n 0.30	Ψ /23 011 000-002-1441
\$ 1.5 bil 800-999-0887 C+ Bond +6 +2 +1 10.27n -0.01	\$ 6.6 bil 800-432-4789 <b>A-</b> Intl Val +8 +7 +4 29.68n 0.05	<b>A+</b> Energy +10 +8 +3 92.36n 0.06 <b>A</b> Equity Inc +7 +3 +8 89.59n -0.20	A+ Rus 1000 GI +16 +5 +13 695.90 0.49 Vo A+ Rus 1000 Id +12 +4 +10 468.99 -0.15
Thornburg Fds	<b>A-</b> Value +7 +5 +4 19.79n 0.02	<b>B+</b> Euro S +10 +6 +5 88.29n 0.41	A- Rus 1000 VI +7 +1 +7 301 54 -0 49 \$7
\$ 16.3 bil 800-847-0200	Ultimus	C- Explorer +4 -2 +7 107.52n -0.46	A Rus 3000 ld +11 +3 +10 457.07 -0.29 A-
A Inc Bldr +9 +6 +4 25.65 0.09	\$ 1.1 bil 888-884-8099	D Ext MI +3 -2 +6 127.90n -0.54	C+ ST IPSI +2 +1 +2 24.29 0.01 A+
C+ Intl Eq +14 +7 +6 28.49 0.16 C- Ltd Inc +2 +1 +1 12.83 0.00	<b>A-</b> US Val Eqty +6 -1 +8 24.33 -0.07 <b>A-</b> Qual Val +6 +1 +7 13.84 -0.02	C- FTSE xUS +8 +3 +4 37.40n 0.10 A- GI Min Vol +8 +1 +4 30.48n 0.03	Vanguard Funds InsP \$ 923 bil 800-662-2739
D+ Ltd Muni +0 -1 +1 13.46 0.02	UM Funds +0 +1 +7 13.04-0.02	D- GNMA -1 +0 -1.0 9.14n 0.00	A Inet Indy +13 +/1 +10 //1 55 -0 08   \$5
TIAACREF Inst	\$ 3.3 bil 800-480-4111	A+ Gro & Inc +15 +4 +10 103.33n -0.06	Vanguard Funds Inst
\$ 142 bil 877-518-9161	<b>A-</b> Beh Val +3 +0 +8 81.76 -0.40	<b>A+</b> Gro Idx +16 +6 +13 186.04n 0.13	\$ 923 DII 800-662-7447   6-1
E Bond Indx +0 +0 -1.0 9.52 0.00	USAA Aggressive Gr	A Health Care +8 +3 +8 92.39n 0.07	A+ FISE SOC +13 +4 +11 30.59 0.01   n <sub>+</sub>
D- Core Bond +1 +1 0 9.07 0.00 D- Core+ Bd +1 +1 0 9.10 0.00	\$ 69.6 bil 800-235-8396 <b>A+</b> AggressiveG+19 +4 +9 62.32n -0.09	D+ Hi Yld TxEx +1 +0 +2 10.66n 0.04 <b>A-</b> Hlth Cr ldx +7 +0 +8 133.54n 0.27	E LT Trs -4 -1 -3.0 24.96 0.00 WC
<b>A</b> Eq ldx +11 +3 +10 37.51 -0.03	USAA GIbi Mgd Vol	C+ HY Corp +2 +1 +2 5.37n 0.00	<b>n</b> con Mc400 ./ .0 .7 2070/ 11   Ψ <sup>2</sup>
A+ Gro & Inc +18 +5 +10 15.29 -0.02	\$ 69.6 bil 800-235-8396	<b>A</b> Indus ldx +7 +0 +9 121.27n -0.91	D+ S&P SC600 +0 +0 +5 399.22 -2.5 A-
C+ Intl Eq +11 +3 +5 14.40 0.06	A- GlbIMgdVol +8 +2 +5 10.88 0.01	D Infl-Prot +1 +1 +1 23.21n -0.02	A- I WIdStk +10 +3 +7 229.72 0.14   W
B Itl Eq ix +9 +3 +5 23.81 0.07 C+ LC Id 2020 +5 +2 +4 19.42 0.01	<b>USAA Group</b> \$ 69.6 bil 800-235-8396	A+ InfoTch Idx +14 +4 +16 281.46n -1.2 D- Int Trs +0 +0 0 19.67n 0.00	D- Tot Bd II +0 +0 -1.0 9.41 0.00 \$7
C+ LC ld 2020 +5 +2 +4 19.42 0.01	<b>A+</b> 500 Index +13 +4 +10 68.17n -0.01	E Int-TB +0 +0 +0 19.07h 0.00	\$ 923 bil 800-662-2739
B LC Id 2035 +7 +2 +5 26.37 0.01	A CapitalGrow+12 +3 +7 13.63n -0.01	D- Int-Tm Inv +1 +1 0 8.56n 0.00	A Ins T StMk +11 +3 +10 91.32 -0.06 \$ 2
<b>B+</b> LC Id 2040 +8 +3 +6 28.55 0.02	<b>A-</b> Cornerstone +10 +3 +6 17.21n 0.01	D- Int-Tm Trs +0 +0 0 9.79n 0.00	Vanguard Funds Inv
<b>B+</b> LC Id 2045 +9 +3 +7 29.96 0.02	C+ Cornerstone +6 +2 +4 27.34n 0.01	D+ Int-Tm TxEx +0 +0 +2 13.54n 0.04	\$ 1461 bil 800-662-2739
<b>A+</b> LCG ldx +16 +5 +13 60.25 0.04 <b>A</b> LCG +15 +4 +10 27.25 0.11	<b>A+</b> Growth&Inc +12 +3 +8 25.97n -0.03 <b>A+</b> Growth +17 +4 +9 37.25n 0.04	E Intl Gro +12 +5 +6 113.72n 0.48 A+ Lq-Cp I +13 +4 +10 123.77n -0.01	A- Div Eqty +10 +2 +9 48.50n -0.02 D A Div Gro +6 +1 +8 38.84n 0.11 F
A- LCV ldx +7 +1 +6 24.64 -0.04	A IncomeStock +7 +1 +7 19.62n -0.03	E Lq-Tm Inv -2 -1 -1.0 7.80n -0.01	A Div Gro +6 +1 +8 38.84n 0.11   E A+ Gl Cap Cyc +9 +7 +11 13.32n 0.08   F
A LCV +9 +2 +8 21.71 -0.03	A+ NASDAQ-100I+13+4+14 47.66n-0.03	E Lg-Tm Trs -3 -1 -3.0 8.32n 0.00	C+ Glbl Eqty +9 +2 +7 35.73n 0.04   Wi
<b>B+</b> Lfcy 2040 +9 +3 +6 11.04 0.00	A- SustainWorl+12 +4 +7 29.86n -0.03	D Lg-Tm Tx-Ex +0 +0 +2 10.84n 0.05	E Intl Expirr +3 +1 +1 17.54n -0.02 \$1
A- MCV +8 +2 +5 17.70 -0.09 B- Qnt SCE +5 -1 +6 18.55 -0.14	B+ TargetRet20 +8 +2 +5 12.98n 0.00	C- Ltd-Tm TxEx +0 +0 +2 10.78n 0.02 C+ MC G I +5 -2 +7 98.66n -0.48	C Intl Val +6 +2 +4 42.84n 0.06 A+ C- IS Cons Gro +4 +1 +3 21.00n 0.01 Wi
E Real Est -5 -4 +2 16.66 0.06	D+ Tax-ExInt-T +0 +0 +2 12.49n 0.03 D Tax-ExLng-T +1 +0 +2 12.05n 0.05	B+ MC V I +5 -2 +7 98.06n -0.48 +5 +1 +6 78.71n -0.26	C- LS CONS GTO +4 +1 +3 21.00n 0.01   WI   B LS Growth +8 +3 +6 44.61n 0.02   \$4
<b>A+</b> S&P500 ldx +13 +4 +10 58.75 -0.01	A Value +9 +2 +6 19.95n -0.04	B- Md-Cp I +5 +0 +7 301.22n -1.2	D LS Income +2 +1 +1 15.32n 0.00 D
36 Mo YTD 12Wk 5 Yr Net	36 Mo YTD 12Wk 5 Yr Net	36 Mo YTD 12Wk 5 Yr Net	36 Mo YTD 12Wk 5 Yr Net 36
Performance % % After Asset NAV	Performance % % After Asset NAV Rating   Fund   Chg  Chg  Tax Rtn  Value   Chg	Performance % % After Asset NAV	Performance % % After Asset NAV Per
Rating   Fund   Chg   Chg   Tax Rtn   Value   Chg	naung   runu   ting   ting   tax kun value   ting	Rating   Fund   Chg   Chg   Tax Rtn   Value   Chg	Rating   Fund   Chg   Chg   Tax Rtn   Value   Chg   Rat

VTD 12Wk 5 Vr Not

lculated	<b>A</b> Sel Value +2 -1 +9 29.57n -0.11
op 25%,	C STAR +6 +2 +5 28.43n 0.01
- = Top	<b>A-</b> Str SC Eq +5 +0 +8 39.16n -0.15
ıds in %	<b>A-</b> Strat Eqty +6 +0 +8 36.46n -0.13
to other	C- Tgt Ret Inc +3 +1 +2 13.34n 0.00
rge may	C Tgt Ret2020 +4 +2 +3 27.90n 0.00 C+ Tgt Ret2025 +5 +2 +4 19.30n 0.00
us day's	C+ Tgt Ret2025 +5 +2 +4 19.30n 0.00 C+ Tgt Ret2030 +6 +2 +5 37.55n 0.01
fter-tax	B- Tgt Ret2035 +7 +2 +5 23.59n 0.01
	B- Tgt Ret2035 +7 +2 +5 23.59n 0.01 B Tgt Ret2040 +7 +3 +6 42.20n 0.02
al gains	<b>B+</b> Tgt Ret2045 +8 +3 +6 28.82n 0.01
	<b>B+</b> Tgt Ret2050 +9 +3 +7 48.28n 0.01 <b>B+</b> Tgt Ret2055 +9 +3 +7 53.88n 0.02
Yr Net	
iter Asset NAV	<b>B+</b> Tgt Ret2060 +9 +3 +7 49.64n 0.02
Rtn  Value  Chg	C- TotIntIStk +7 +3 +4 19.85 0.04
11.32n 0.05	<b>Victory Funds</b> \$ 13.2 bil 800-539-3863
10.83n 0.04	A+ Dysd Stock +14 +3 +9 21 63 -0 03
10.76n 0.05	A+ Dvsd Stock +14 +3 +9 21.63 -0.03 A+ RS Growth +19 +5 +8 29.28 -0.07 A RSLgCpAlpha+14+6 +6 61.32 -0.08 B- Sm Co Opp -1 -2 +6 48.00 -0.10
92.59n -0.20	A RSLgCpAlpha+14+6 +6 61.32 -0.08
175.25n -0.24	B- Sm Co Opp -1 -2 +6 48.00 -0.10
118.67n 0.24 105.32n -0.42	Victory:Estab Val
87.70n -0.42	\$ 21.9 bil 800-539-3863
79.53n -0.29	A- Estab Val +5 -1 +9 48.77 -0.02 Victory:Global En Tran
10.04n 0.00	\$ 21.9 bil 800-539-3863
10.04n 0.00	<b>A+</b> GlobalEnTra +14+10 +15 32.15 0.11
10.21n 0.00	Victory:RS Global
9.77n 0.00	\$ 21.9 bil 800-539-3863
15.71n 0.02	A RS Global +12 +5 +9 22.99 -0.03
21.02n -0.01	VictoryII:Mkt Neu I
19.29n 0.00	\$ 21.9 bil 800-539-3863
43.32n 0.07	C+ Mkt Neu I -1 +1 8.49 0.00
275.77n -0.10 87.74n -0.55	<b>Virtus Equity Trust</b> \$ 3.6 bil 800-243-1574
9.53n 0.00	E KAR Sm-Cp G -4 -6 +4 31.74 -0.25
19.50n -0.01	VirtusFunds
128.24n -0.08	\$ 5.6 bil 800-243-1574
169.59n 0.25	B Cer MC Val +6 +0 +5 12.53 -0.09 <b>A+</b> Silvant FG +22 +7 +12 80.73 -0.05 <b>A</b> ZvnbrgnTech+19 +3 +11 79.27 -0.10
76.85n -0.83	<b>A+</b> Silvant FG +22 +7 +12 80.73 -0.05
62.65n -0.07	A ZvnbrgnTech+19 +3 +11 79.27 -0.10
61.37n -0.08	<b>VirtusFunds Cl I</b> \$ 8.0 bil 800-243-1574
76.34n 0.02	Φ 0.0 DIT 000-243-1374 Δ+ ΚΔΡ SmCn Cr +2 -3 +10 53 30 0 10
82.93n 0.03 75.74n -0.14	<b>A+</b> KAR SmCp Cr +2 -3 +10 53.30 0.10 C NwfleetMSST +2 +1 +1 4.49 0.00
73.7411 -0.14	Vivaldi Merger
	\$ 1.6 bil 877-779-1999
695.90 0.49	B TrustMrgrAr +3 +2 +2 10.92 0.00
468.99 -0.15	Voya Fds
301.54 - 0.49	\$ 7.1 bil 800-992-0180 <b>A-</b> GIHiDivLwVo +7 +1 +4 43.58 0.03
457.07 -0.29	D- Intmdt Bd +1 +1 0 8.68 0.01
24.29 0.01	<b>A+</b> Large-CapGr+20 +4 +10 55.64 -0.04
	Wasatch
441.55 -0.08	\$ 5.3 bil 800-551-1700
111.00 0.00	C- Core Gro +1 -3 +7 87.27n -0.56
	WCM Focus Funds
36.59 0.01	\$ 17.9 bil 888-988-9801 D+ FocusedItIG +12 -1 +7 25.41 0.10
24.96 0.00	Weitz Funds
379.86 0.25	\$ 4.7 bil 888-859-0698
397.96 -1.1	D+ CorePlusInc +1 +1 +1 9.62 0.00
399.22 -2.5	<b>A-</b> Value +4 -2 +8 53.64n 0.04
229.72 0.14 9.41 0.00	WesMark Funds
7.41 0.00	\$ 788 mil 800-864-1013
	A- LargeCompan+9 +2 +9 23.52n 0.07 Western Asset
91.32 -0.06	\$ 48.8 bil 877-721-1926
	E Core Bond -1 +0 -1.0 10.53 -0.01
	E CorePlusBon -1 +0 -1.0 9.27 0.00
48.50n -0.02	D ManagedMuni+1+0 +1 14.98 0.05
38.84n 0.11	E SMAShSeries -3 -2 -3.0 5.99n 0.00
13.32n 0.08	E SMAShSeries -2 -1 -3.0 7.59n 0.00
35.73n 0.04 17.54n -0.02	Wilmington Funds
17.34n -0.02 42.84n 0.06	\$ 14.0 bil 800-836-2211 <b>A+</b> LC Str +12 +3 +10 30.72 -0.01
21.00n 0.01	Wm Blair Funds Cl I
44.61n 0.02	\$ 4.1 bil 800-635-2886
15.32n 0.00	D Sm-Md Cp Gr +2 -6 +5 28.96 -0.18
Yr Net	36 Mo YTD 12Wk 5 Yr Net
fter Asset NAV	Performance % % After Asset NAV
Rtn  Value  Chg	Rating   Fund   Chg   Chg   Tax Rtn   Value   Chg

WEEK OF JUNE 10, 2024 A13

Rating | Fund | Chg | Chg | Tax Rtn | Value | Chg

## IN THE COURT OF CHANCERY OF THE STATE OF DELAWARE

JESSE NEWBOLD,

Plaintiff,

CRAIG MCCAW, CATHLEEN A. MASSEY, WAYNE PERRY, RANDY RUSSELL, R. GERARD SALEMME, DENNIS WEIBLING, PENDRELL CORPORATION, and X-ICITY HOLDINGS CORPORATION f/k/a PENDRELL HOLICITY HOLDINGS CORPORATION,

Defendants

### SUMMARY NOTICE OF PENDENCY AND PROPOSED SETTLEMENT OF STOCKHOLDER CLASS ACTION, SETTLEMENT HEARING, AND RIGHT TO APPEAR

All holders of Holicity Inc. ("Holicity") Class A common stock (excluding, for the avoidance of doubt, shares of Holicity Class B common stock that converted into Holicity Class A common stock solely in connection with the Merger), whether beneficial or of record, together with the heirs, successors in interest, transferees, and assignees of all such foregoing holders, as of the effective time of the acquisition of legacy Astra Space, Inc. ("Legacy Astra")

by Holicity on June 30, 2021 (the "Effective Time") (the "Settlement Class"). Certain persons and entities are excluded from the Settlement Class by definition, as set forth in the full Notice of Pendency and Proposed Settlement of Stockholder Class Action, Settlement Hearing, and Right to Appear (the "Notice"), available at <a href="https://www.HolicityStockholdersLitigation.com">www.HolicityStockholdersLitigation.com</a>. Any capitalized terms used in this Summary Notice that are not otherwise defined in this Summary Notice shall have the meanings given to them in the Notice or in the Stipulation and Agreement of Settlement, Compromise, and Release dated April 22, 2024 (the "Stipulation"), which is also available at <a href="https://www.HolicityStockholdersLitigation.com">www.HolicityStockholdersLitigation.com</a>.

PLEASE READ THIS SUMMARY NOTICE CAREFULLY. YOUR RIGHTS WILL BE AFFECTED BY A CLASS ACTION LAWSUIT PENDING IN THIS COURT.

YOU ARE HEREBY NOTIFIED, pursuant to an Order of the Court of Chancery of the State of Delaware (the "Court"), that the above-captioned stockholder class action (the "Action") has been preliminarily certified as a class action on behalf of the Settlement Class defined above.

YOU ARE ALSO NOTIFIED that (i) Plaintiff Jesse Newbold ("Plaintiff"), on behalf of himself and the other members of the Settlement Class; and (ii) Defendants Craig McCaw, Cathleen A. Massey, Wayne Perry, R. Gerard Salemme, Dennis Weibling, and Randy Russell (collectively, the "Individual Defendants"); Pendrell Corporation ("Pendrell"); and X-icity Holdings Corporation t/k/a Pendrell Holicity Holdings Corporation ("X-icity," and together with the Individual Defendants and Pendrell, "Defendants") have reached a proposed settlement of the Action for \$16,500,000 in cash (the "Settlement"). The terms of the Settlement are stated in the Stipulation. If approved by the Court, the Settlement will resolve all claims in the Action.

A hearing (the "Settlement Hearing") will be held on July 30, 2024, at 1:30 p.m., before The Honorable Lori W. Will, Vice Chancellor, at the Court of Chancery of the State of Delaware, New Castle County, Leonard L. Williams Justice Center, 500 North King Street, Wilmington, DE 19801, or remotely by telephone or videoconference (in the discretion of the Court), to, among other things: (i) determine whether to finally certify the Settlement Class for settlement purposes only, pursuant to Court of Chancery Rules 23(a), 23(b)(2); (ii) determine whether Plaintiff and Plaintiff's Lead Counsel—Bernstein Litowitz Berger & Grossmann LLP—have adequately represented the Settlement Class, and whether they should be finally appointed as Class Representative and Class Counsel, respectively, for the Settlement Class; (iii) determine whether the proposed Settlement should be approved as fair, reasonable, and adequate to, and in the best interests of, Plaintiff and the other members of the Settlement Class; (iv) determine whether the proposed Final Order and Judgment approving the Settlement, dismissing the Action with prejudice, and granting the Releases provided under the Stipulation should be entered: (v) determine whether the proposed Plan of Allocation of the Net Settlement Fund is fair and reasonable, and should therefore be approved; (vi) determine whether and in what amount anv award attorneys' fees and payment of Litigation Expenses to Plaintiff's Counsel (the "Fee and Expense Award") should be paid out of the Settlement Fund, including any incentive award to Plaintiff (the "Incentive Award") to be paid solely from any Fee and Expense Award; (vii) hear and rule on any objections to the Settlement, the proposed Plan of Allocation, and/or Plaintiff's Counsel's application for a Fee and Expense Award, including Plaintiff's application for an Incentive Award to be paid solely from any Fee and Expense Award (the "Fee and Expense Application"); and (viii) consider any other matters that may properly be brought before the Court in connection with the Settlement. Any updates regarding the Settlement Hearing, including any changes to the date, time, or format of the hearing or updates regarding remote or in-person appearances at the hearing, will be posted to the Settlement website. www.HolicityStockholdersLitigation.com.

If you are a member of the Settlement Class, your rights will be affected by the pending Action and the Settlement, and you may be entitled to share in the Net Settlement Fund. If you have not yet received the Notice, you may obtain a copy of the Notice by contacting the Settlement Administrator by mail at

Holicity Stockholders Litigation, c/o A.B. Data, Ltd., P.O. Box 173127, Milwaukee, WI 53217; by telephone at 877-411-4620; or by email at info@HolicityStockholdersLitigation.com. A copy of the Notice can also be downloaded from the Settlement website, <a href="https://www.HolicityStockholdersLitigation.com">www.HolicityStockholdersLitigation.com</a>.

C.A. No. 2022-0439-LWW

If the Settlement is approved by the Court and the Effective Date occurs, the Net Settlement Fund will be distributed on a pro rata basis to Eligible Class Members in accordance with the proposed Plan of Allocation stated in the Notice or such other plan of allocation as is approved by the Court. Pursuant to the proposed Plan of Allocation, each Eligible Class Member will be eligible to receive a pro rata payment from the Net Settlement Fund equal to the product of (i) the number of shares of Holicity Class A common stock (excluding, for the avoidance of doubt, shares of Holicity Class B common stock that converted into Holicity Class A common stock solely in connection with the Merger) held as of the Effective Time of the Merger on June 30, 2021, excluding those shares held by Excluded Stockholders and Redeeming Stockholders ("Eligible Shares") and (ii) the "Per-Share Recovery" for the Settlement, which will be determined by dividing the total amount of the Net Settlement Fund by the total number of Eligible Shares held by all Eligible Class Members. As explained in further detail in the Notice, Eligible Class Members do not have to submit a claim form to receive a payment from the Net Settlement Fund.

Any objections to the proposed Settlement, the proposed Plan of Allocation, or Plaintiff's Counsel's Fee and Expense Application, including Plaintiff's application for an Incentive Award, must be filed with the Register in Chancery in the Court of Chancery of the State of Delaware and delivered to Plaintiff's Lead Counsel and Defendants' Counsel such that they are received no later than July 15, 2024, in accordance with the

Please do not contact the Court or the Office of the Register in Chancery regarding this Summary Notice. All questions about this Summary Notice, the proposed ettlement, or your eligibility to participate in the Settlement should be directed to the Settlement Administrator or Plaintiff's Lead Counsel.

Requests for the Notice should be made to the Settlement

Holicity Stockholders Litigation c/o A.B. Data. Ltd. P.O. Box 173127

Milwaukee, WI 53217 877-411-4620 in fo@HolicityStockholdersLitigation.comwww.HolicityStockholdersLitigation.com

Inquiries, other than requests for the Notice, should be

Jeroen van Kwawegen Bernstein Litowitz Berger & Grossmann LLP 1251 Avenue of the Americas, 44th Floor New York, NY 10020

> 800-380-8496 settlements@blbglaw.com

> > BY ORDER OF THE COURT OF CHANCERY OF THE STATE OF DELAWARE

#### sevans@strategicclaims.net

From: phhubs@prnewswire.com

Sent: Monday, June 10, 2024 8:00 AM

To: sevans@strategicclaims.net

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